Wireless Portable Evaluation Platform for Photodynamic Therapy: *In vitro* Assays on Human Gastric Adenocarcinoma Cells

Rodrigo Henrique Gounella[®], Ilaiáli Souza Leite, Natalia Mayumi Inada, and João Paulo Carmo[®]

Abstract—Photodynamic Therapy (PDT) is a technique used in the selective destruction of cancer cells and requires 2 the previous knowledge of light dosimetry and photosensiз tizer drug (PS) concentration to apply on cells. Therefore, a laboratory platform is required for further evaluation, e.g. 5 to make evaluation and assays on previously collected cells by biopsy to determine the best combination of light dose and PS concentration. In this context, this paper presents a 8 low-cost, low-sized and flexible Wireless Portable Evaluation 9 Platform for PDT assays on cells. The W-PEP was tested 10 and evaluated using assays with Human Gastric Adenocar-cinoma (AGS) cells. The AGS cells were exposed to the 11 12 5-aminolevulinic acid (ALA), which is a precursor of the pho-13



tosensitive agent Protoporphyrin IX (PpIX) with absorption at 635nm. The W-PEP is composed by 16 small-sized LEDs 14 matrix with peak transmittance at 634nm mounted in a PCB and controlled by ESP32-DevKitC®, a power bank to supply the 15 lighting and the controlling systems and 3D printed components specially designed to allow portability and PDT assays. 16 A graphical user interface (GUI) application for mobile devices was developed allowing the bi-directional communication 17 between the smartphone and W-PEP via Bluetooth. The measurements with AGS cells proved this W-PEP was effective 18 in its purpose and promoted cell death in samples treated with ALA and a final light dose of 5J/cm² after 49 minutes of 19 light exposure. This W-PEP has 140mm of both length and width, 75mm of height and costs about \$82.10. To finish, this 20 system checks the optimal conditions that will be applied in the treatment after, thereby decreasing the costs and time of 21 the application. 22

Index Terms— Photodynamic therapy (PDT), 5-Aminolevulinic Acid (ALA), Internet of Things (IoT), Android Application,
 Adenocarcinoma Gastric of Stomach (AGS).

I. INTRODUCTION

25

AQ:1

CCORDING to World Health Organization and World 26 Cancer Research Fund, cancer can be considered as one 27 of the major health problems around the World. There were 28 an estimated 18 million cancer cases around the world in a 29 year and is the second leading cause of death globally. About 30 1 in 6 deaths is due to cancer. Stomach cancer is between 31 the 5 most incidents cases and is one of the most dangerous, 32 because is the third in death causes [1], [2]. It is important 33 to discover the cancer early, because the chance of reversing 34 increases exponentially [1]. 35

There is a lot of research in identifying Volatile Organic Compounds to find cancer in its early stages. For this purpose,

Manuscript received January 9, 2020; accepted January 30, 2020. The associate editor coordinating the review of this article and approving it for publication was Prof. Yu-Cheng Lin. (Corresponding author: Rodrigo Henrique Gounella.)

Rodrigo Henrique Gounella and João Paulo Carmo are with the Department of Electrical Engineering (SEL), University of São Paulo (USP), São Carlos 13566 590, Brazil (e-mail: rodrigogounella@usp.br). Ilaiáli Souza Leite and Natalia Mayumi Inada are with the Group of

Optics, São Carlos Institute of Physics (IFSC), University of São Paulo (USP), São Carlos 13566 590, Brazil.

Digital Object Identifier 10.1109/JSEN.2020.2971444

mass spectrometry techniques [3], array of sensors [4], electrochemical sensors [5], microwave sensors [6], optical fiber sensor [7] have been used.

After the cancer is identified and located, a non-invasive treatment, called Photodynamic Therapy (PDT), can be performed. PDT is an optical technique that consists on an ablative treatment for rapidly proliferating abnormalities including dysplastic and malignant lesions. It is based on Photosensitizer Drug (PS) administration followed by exposure to a light with specific wavelength λ [nm], commonly located in the red region of the visible spectrum, due deeper penetration in biological tissue. After a certain time, the drug accumulates in lesions and the application of light with specific intensity and wavelength leads to it photoexcitation. PDT is a non-invasive therapy because the irradiation is limited to the tumor site, and at the same time, it presents low systemic toxicity and tumors selective destruction due to preferential buildup of the PS in injured tissues [8], [9]. Fig. 1 illustrates the basic principles of PDT.

Considering this regard, it is necessary to know before treatment starting which PS will be used, the concentration of this PS that will be prepared and the light dose that will 59

1558-1748 © 2020 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission.

See https://www.ieee.org/publications/rights/index.html for more information.

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55



Fig. 2. Diagram of the W-PEP proposed in this paper.

be applied [10]–[13]. Thereby, it's possible to avoid patient
unnecessary discomforts caused by the treatment and prevent
the non-cancerogenous cells damages. Currently, there is a gap
in portable photodynamic therapy (PDT) analysis systems that
are flexible, portable with low-size and easy to interface with
the operator.

In this context, using the concepts of IoT (Internet of 66 things) and Android Application, this paper presents a Wire-67 less Portable Evaluation Platform (W-PEP) controlled by a 68 smartphone via Bluetooth connection (with the possibility 69 to use Wi-Fi), which was specifically developed to perform 70 and sensing PDT in Human Gastric Adenocarcinoma (AGS) 71 cells submitted to 5-aminolevulinic acid (ALA) samples, a 72 precursor of Protoporphyrin IX (PpIX). 73

74

II. CONCEPT AND APPROACH

75 A. Concept

This paper core is the development of a low-cost, 76 low-sized and flexible Wireless Portable Evaluation Platform 77 (W-PEP) that can assist and improve a cancer treatment by 78 photodynamic therapy. The W-PEP performs a previous PDT 79 in assays with cancerogenous cells before collected with a 80 biopsy. Therefore, it's possible to check the optimal dosimetry 81 and PS concentration that will be applied in the treatment after, 82 decreasing the costs and time of the application. 83

Fig. 2 presents the W-PEP system overview controlled by a smartphone containing a 96-well microplate to irradiate the 86

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

cells sample. The W-PEP control is done in real time by the graphical user interface (GUI) for mobile devices developed to simplify the operation for the end user. Additionally, this system was designed with a rechargeable power bank allowing totally portability.

The PDT module is composed by a high-performance microcontroller with wireless transceiver via Wi-Fi or Bluetooth, a power unit and a developed lighting system. The power unit comprises a power bank with rechargeable battery and the charging control system. There are many communication protocols for mobile devices, e g., IEEE 804.15.4, ZigBee, Wi-Fi, LTE, 3G and Bluetooth [14]. The last was chosen because it presents itself as a simple, low-cost and low-power consumption path to transmission and reception data and is present in almost all mobile devices [15], [16].

B. Photodynamic Therapy

The PDT principle involves the PS activation by 102 specific light wavelength [9]. There are three main elements 103 required: light, PS and oxygen. When the PS is exposed to 104 a specific light wavelength, it is activated to an excited state. 105 In the ground state (S0), it is said that a PS is in the singlet 106 state, whereby all its electrons have paired spins in low energy 107 orbitals. After applying light with a wavelength within the PS 108 absorption band, the electron in the highest occupied molecular 109 orbital (HOMO) is excited to the lowest unoccupied molecular 110 orbital (LUMO). This process results in PS unstable singlet 111 excited state (S1), which has nanosecond scale life time, and 112 without any expected photodynamic activity. By referring to an 113 unstable configuration, several processes can occur rapidly and 114 the PS returns to its original state S0 by different processes, 115 for example, by heat generation, a non-radioactive process, 116 resulted from energy dissipation absorbed into the neighbor-117 hood molecules or by fluorescence, which is a photon emission 118 with wavelength corresponding to the difference between two 119 states energies [17]-[20]. 120

Another possible process is the excited electron spin rever-121 sion, known as Intersystem Crossing (ISC). This process 122 causes the PS to go into a lower energy excited state called 123 triplet, which is relatively more stable than the singlet state. 124 T1 has a micro to milliseconds scale life time, substantially 125 larger than the S1 species and, therefore, is more prone to 126 participate in photodynamic reactions, thus being the most 127 critical process for PDT. At this point the molecule may 128 undergo another excited electron spin reversion and return to 129 the ground state by phosphorescence process, or it may interact 130 with molecules in the medium. In the electronic transitions, 131 triplet-triplet interactions are allowed by selection rules related 132 to the multiplicity of spins, which allows the PS to interact 133 with molecular oxygen that presents the triplet form in its 134 ground state (O₂) [17]–[20]. 135

The PDT oxidant characteristics discussion is centered on molecular oxygen (O_2) . When the PS is in long-lived triplet state, it can interact with O_2 of two different ways. The Type I process occurs when the PS directly transfers an electron to O_2 , producing superoxide anion (O_2^-) , which can continue to form others reactive oxygen species (ROS) including the hydroxyl radical (OH) and hydrogen peroxide (H₂O₂).

3

Commercial name	λ [nm]	Фл	<i>є</i> [М ⁻¹ .cm ⁻¹]	Class	Compound	Remarks	
Photofrin®	632	0.89	3000	Porphyrins	Porfimer sodium	Commonaial	
Levulan®	635	0.56	5000	Porphyrins	5-Aminolevulinic acid (ALA)	Commercial	
Foscan®	652	0.87	35000	Chlorines	Meta-tetra(hydroxyphenyl)chlorin (m-THPC)		
Photochlor®	665	0.48	47000	Feoforbides	2-(1-Hexyloxyethyl)-2-devinyl pyropheophorbide (HPPH)	Clinical tests	
Laserphyrin®	664	0.77	40000	Chlorines	N-aspartyl chlorin e6 (NPe6)		
Visudyne®	689	0.84	34000	Porphyrins	Benzoporphyrin derivative monoacid ring A (BPD-MA)	Commercial	
Purlytin [®]	664	0.70	30000	Chlorines	Tin ethyl etiopurpurin		
Lutrin®	732	0.11	42000	Texaphirine	Motexafin lutetium (Lu-Tex)		
Tookad®	763	0.50	88000	Feoforbides	Palladium bacteriopheophorbide (WST09)	Clinical tests	
Photosens®	676	0.38	200000	Phthalocyanine	Aluminum phthalocyanine tetrasulfonate (AlPcS4)		

TABLE I

MAIN CHARACTERISTICS OF SOME PHOTOSENSITIZERS AVAILABLE COMMERCIALLY OR IN CLINICAL TESTS [18], [22]-[24]

 φ_{Δ} : Quantum yield of ¹O₂

ε: Molar extinction coefficient



Fia. 3 Jablonski diagram showing the mechanisms involved in the photodynamic action, with the generation of excited states and reactive oxvgen species (ROS)

Alternatively, the Type II process happens when there is energy 143 transfer from PS in T1 state to O2, resulting in the external 144 electron spin inversion and creates a completely unoccupied 145 orbital (a violation of Hund's rule). This type of oxygen is 146 named singlet oxygen $({}^{1}O_{2})$ with a short life time and is 147 extremely reactive due its instable electronic configuration. 148 Therefore, it effectively oxidizes many types of biomolecules 149 (e.g., lipids, nucleic acids, etc.), which can lead to cell death 150 and tissue destruction [17]-[20]. Fig. 3 presents a schematic of 151 the processes involved in the "photodynamic action", known 152 as Jablonski diagram. 153

C. Photosensitizer Drugs 154

There are many types of photosensitizing drugs available 155 for use in PDT. Depending on the type of agent, they can 156 be injected intravenously, ingested orally or applied topi-157 cally [21]. Table I presents the main characteristics of some 158 commercially available or undergoing clinical tests PSs. 159

Only three of the PSs presented in the Table I were 160 approved by the Food and Drug Administration (FDA), 161 Photofrin[®], Levulan[®] and Visudyne[®]. The main compound of 162 Levulan[®] is 5-aminolevulinic acid (ALA) that was approved 163 by the FDA to treatment via PDT in 1999 [22]. ALA can be 164 formulated for topical, oral or intravenous application [23]. 165

ALA is a second-generation photosensitizer and a natural 166 precursor of Protoporphyrin IX (PpIX) [24]-[26]. It is 167

a photodynamically inactive, non-selective, non-toxic compound and is metabolized intracellularly to PpIX, which is photodynamically active. Subsequent illumination of the tumor site with red light activates the PpIX which causes oxidative 171 damage and induces cytotoxicity [18], [26]. 172

After a successful preliminary study of skin tumors treat-173 ment, the use of this PS achieved worldwide success. Actinic 174 keratosis and superficial basal cell lesions can be eliminated 175 via PDT with ALA and, in several studies, photodynamic 176 treatment with ALA has been shown to be highly effective 177 compared to other dermatological procedures to eliminate 178 early stage superficial non-melanoma cutaneous tumors [23]. 179 ALA has also been used successfully for head and neck 180 tumors, Barrett's esophagus, urinary bladder, uterus and even 181 prostate cancer [18], [23], [26], showing great potential to treat 182 many types of cancerous pathologies. 183

The ALA's bioactivation utilizes the heme biosynthesis 184 pathway enzymatic machinery. Although almost all types of 185 human cells contain the enzymes involved in heme synthesis, 186 a distinct activity of enzymes in tumors compared to unaffected tissues leads to increased accumulation of PpIX within 188 tumor cells [25].

Considering the qualities and advantages of ALA, this photosensitizer was chosen to be used in this work.

D. Dosimetrv

As previously mentioned, clinical PDT involves a PS application followed by illumination with appropriate wavelength and intensity to activate it. Ideally, this will result in an ablative photodynamic reaction that eliminates the lesion but spares normal tissue [27].

Before the required light dose (LD) quantification to activate a PS, it is necessary to first measure the fluency rate FR, which represents the optical power P_0 [W], in each area unit 200 corresponding to the abnormal tissue A_{AT} [m²] [23]. The 201 fluency rate is given by:

$$FR = P_0 / A_{AT} \tag{1} 203$$

By determining the time interval Δt [s] at which the fluency 204 rate is applied, the desired light dose is then calculated as 205 follows [23]: 206

168 169 170

187

189

190

191

192

193

194

195

196

197

198

199



Fig. 4. 3D drawing for the assembled Wireless Portable Evaluation Platform (W-PEP).

$$LD = (P_0/A_{AT}) \times \Delta t \tag{2}$$

The light dose is a very important parameter, taking into 208 account that it is a crucial factor for the PS activation, 209 which, consequently, will result in abnormal tissue elimination. 210 Unfortunately, no real-time dosimetry system exists, since it 211 is very difficult to predict the light beam behavior interacting 212 with a determined tissue. This happens because biological 213 tissues are considered as turbid media, that is, they have high 214 light absorption and dispersion rates. Then, when a beam 215 interacts with a tissue, it has its intensity deteriorated as it 216 penetrates due to the strong absorption and dispersion. In this 217 way, the definition of necessary light doses for the treatment in 218 diverse pathologies is determined based on results of clinical 219 tests and in medical literature [28]-[30]. 220

Thus, the system developed and showed in this paper, can minimize unnecessary time and cost expenses which are precious and crucial quantities in clinical applications.

III. DESIGN

225 A. 3D Prototype of the W-PEP

The designed and assembled W-PEP first component was 226 the developed Printed Circuit Boards (PCBs) with ESP32 and 227 matrix of 16 LEDs. The distance between each LED was based 228 on the standard measurements of 96-well microplates for cell 229 culture, so that, each LED illuminates a single well. To supply 230 the electronic components, a rechargeable power bank with 231 30000mAh was used. Fig. 4 presents a tri-dimensional (3D) 232 model built in Computer Aided Design (CAD) software. The 233 module supports were entirely printed by a 3D printer model 234 GTMax[®]3D Core A1, using an ABS (acrylonitrile butadiene 235 styrene) filament with 1.75mm in diameter and black color to 236 not interfere in the analysis. The final dimensions of the entire 237 module are $140 \times 140 \times 75$ [mm³]. 238

239 B. Light Source Selection

Light sources are separated into two types, Laser and Non-Laser. Laser types include Argon Lasers, Dye Lasers,





Metal Vapor Lasers, Diode Lasers and Neodymium-doped and Tritium Aluminum Garnet (Nd: YAG). Non-Laser types include LEDs, quartz halogen lamps with tungsten filaments, xenon lamps, metal halide lamps, phosphor-coated sodium lamps and fluorescent lamps [21], [30]. 246

Laser type sources are widely used in PDT, they can produce 247 a beam with high optical power and very low spectral width, 248 but they are expensive and require large equipment and a 249 lot of energy. LEDs, on the contrary of Lasers, produce 250 smaller optical power and higher FWHM (Full width at half 251 maximum) but, in most cases, they are low-cost components, 252 have very small dimensions and consume small amounts of 253 energy. In order to choose the light source, all these parameters 254 and the emission spectrum were considered, which should be 255 in the red region of the visible spectrum with the maximum 256 spectral emission around 635nm, to match the endogenous 257 PpIX absorption produced by the selected compound, ALA. 258

Therefore, the selected light source was the LED, model 259 LRQ396-P1Q2-1 [31] from Osram Opto Semiconductors, with 260 small dimensions. This LED has the SMT 0603 component 261 encapsulation standard and it has a height of 0.4 mm. Fig. 5 262 shows the spectral signature of the LED, which was measured 263 with a spectrophotometer model USB4000 from Ocean Optics. 264 It is also possible to observe in Fig. 5, the LED presents a 265 spectral emission peak located at \approx 634nm, a FWHM (full 266 width at half maximum) of 16.29nm, confirming that this LED 267 is an adequate light source for ALA-mediated PDT. 268

269

C. Hardware and Power Consumption

To achieve maximum power without damaging the LEDs, 270 it was verified in the datasheet [31] the maximum forward 271 current and voltage supported by these components and the 272 values found were 2.3V of voltage and 20mA of current. The 273 lighting control was performed by an ESP32-DevKitC[®] from 274 Espressif and each LED was connected to a digital output 275 which provides 3.19V. Considering these maximum values of 276 voltage, current and the output voltage of ESP32-DevKitC® 277 digital pin, the lighting system PCB was built containing 278 43Ω precision resistors with a tolerance 1%. This value of 279 resistance was chosen because it is the commercial value closer 280 to the required. 281

Fig. 6 shows the W-PEP electronic schematic. There are 282 16 LEDs in the W-PEP and each LED is connected to 283 a single GPIO (general-purpose input/output) pin in the 284 ESP32-DevKitC[®]. The LED PWM controller can generate 285

207

20 19 18

141

WW R7

WW R6

WW R5

WW R4

WW R3

₩₩^ R2

///// R1

LED 2

5 15

> 2 0

4 17 3V3 GND VBAT

VUSB GND 31

ESP32-DevKitC M^{Bluetooth} (BLE)

R14

R15

۰۷۸۸ R13

-~~~

R16

۷WN R12

WW R11

WM R8

WW R10

w

LED 15

LED 16

LED 12

1 FD

LED

LED 10

-N

LED 9

Fig. 6. Schematic diagram of the electronic circuit designed for the W-PEP.



Fig. 7. Final developed W-PEP. (a) Just ESP32-DevKitC $^{\breve{O}}$ Control unit, (b) All electronic components mounted and (c) W-PEP completely assembled.

16 independent channels of digital waveforms with configurable periods and duties. The 16 channels of digital waveforms operate with an APB (Advanced Peripheral Bus) clock
of 80MHz. Eight of these channels have the option of using
the 8MHz oscillator clock. Each channel can select a 20-bit
timer with configurable counting range, while its accuracy of
duty can be up to 16 bits within a 1ms period.

The Bluetooth stack of ESP32[®] is compliant with the Bluetooth v4.2 BR/EDR (Basic Rate/Enhanced Data Rate) and BLE (Bluetooth low energy) specifications. BLE is the ideal for Internet of Things (IoT), therefore, it is the protocol has been used in this work.

All of electronic circuit of the W-PEP was fabricated on a fiberglass (FR-4) substrate by a mechanical prototyping machine model S103 form LPKF Laser & Electronics. Fig. 7(a) and (b) shows the W-PEP Hardware without the lighting system and with the lighting system, respectively. Fig. 7(c) shows all components of W-PEP assembled.

The consumption required to operate the W-PEP was determined experimentally as 420mA just receiving via Bluetooth and 550mA with Bluetooth transmitting as well under a voltage supply of 5V delivered by the power bank. The power bank used in the system has a 30000mAh capacity allowing a maximum of 54 hours autonomy.

310 D. Interface With Smartphone

The software was developed in C++ language to perform the communication between the mobile device and W-PEP.

A graphical user interface (GUI) application for mobile devices with real-time control and visualization parameters

W-PEP	Photodyna	mic therapy I	iest 😽	W-PEP	Photodyna	amic therap	oy test 🛛 🦮	W-PEP	Photodyna	imic therapy	test 😽
0) 🔵	05	5:00 min	0) 🔵		10:00 min	0) 🔵	3	2:23 min
)					
PW= 100%	PW= 100%	PW= 100%	PW= 100%	PW= 100%	PW= 95%	PW= 90%	6 PW= 85%	PW= 82%	PW= 75%	PW= 92%	PW= 87%
FR= 1.70	FR= 1.70	FR= 1.70	FR= 1.70	FR# 1.70	FR= 1.61	FR= 1.53	FR= 1.44	FR= 1.39	FR# 1.27	FR# 1.56	FR= 1.48
LD= 510	LD= 510	LD= 510	LD= 510	LD= 1020	LD= 966	LD= 918	LD= 864	LD= 2700	LD= 2467	LD= 3031	LD= 2876
PW= 100%	PW= 100%	PW= 100%	PW= 100%	PW= 80%	PW= 75%	PW= 701	6 PW= 65%	PW= 33%	PW= 20%	PW= 27%	PW= 42%
FR= 1.70	FR= 1.70	FR= 1.70	FR= 1.70	FR= 1.36	FR= 1.27	FR= 1.19	FR= 1.10	FR= 0.56	FR= 0.34	FR= 0.46	FR= 0.71
LD= 510	LD= 510	LD= 510	LD= 510	LD= 816	LD= 762	LD= 714	LD= 660	LD= 1088	LD= 660	LD= 894	LD= 1379
PW= 100%	PW= 100%	PW= 100%	PW= 100%	PW= 60%	PW= 55%	PW= 503	6 PW= 45%	PW= 98%	PW= 100%	PW= 72%	PW= 57%
FR= 1.70	FR= 1.70	FR= 1.70	FR= 1.70	FR= 1.02	FR= 0.93	FR= 0.85	FR= 0.76	FR= 1.67	FR= 1.7	FR= 1.22	FR= 0.97
LD= 510	LD= 510	LD= 510	LD= 510	LD= 612	LD= 558	LD= 510	LD= 456	LD= 3245	LD= 3303	LD= 2370	LD= 1885
PW= 100%	PW= 100%	PW= 100%	PW= 100%	PW= 40%	PW= 35%	PW= 305	6 PW= 25%	PW= 62%	PW= 90%	PW= 41%	PW= 56%
FR= 1.70	FR= 1.70	FR= 1.70	FR= 1.70	FR= 0.68	FR= 0.59	FR= 0.51	FR= 0.42	FR= 1.05	FR= 1.53	FR= 0.70	FR= 0.95
LD= 510	LD= 510	LD= 510	LD= 510	LD= 408	LD= 354	LD= 306	LD= 252	LD= 2040	LD= 2973	LD= 1360	LD= 1846
007.7		(TP) 1	1. D. (I.D.)	007.7		(50)		007 T			
PUI lest	Fluency R	ate(FR) Lig	ght Dose(LD)	PUI lest	Fluency R	ate(FR)	Light Dose(LU)	PUI lest	Fluency R	ate(FR) Li	ght Uose(LU)
Units:	[mW]/[cm²] (mJ]/(cm²)	Units:	[mW]/	[cm ²]	[mJ]/(cm²)	Units:	[mW]/[cm ²]	[mJ]/(cm²)
Version 1.0				Version 1.0				Version 1.0			
			_				_				_

Fig. 8. App interface with different times and potencies delivered to the LEDs. (a) to 5 minutes, (b) to 10 minutes and (c) 32 minutes and 23 seconds.

TABLE II ESTIMATED COST OF W-PEP

Component	Estimated Price (USD)
ESP32-DevKitC®	\$8.45
FR-4 (15cm × 20 cm)	\$2.56
16 LEDs LRQ396-P1Q2-1	\$5.44
16 Resistors 43Ω 1% tolerance	\$4.64
Power Bank 3000mAh	\$26.99
ABS Cases and Supports	\$34.02
Total	\$82.10

was developed using MIT App Inventor, and a bidirectional 315 communication protocol by Bluetooth was established for the 316 data transmission and the real-time counters on the smartphone 317 screen as shown in Fig. 8, where it is possible to observe 318 the 16 sliders to control the power delivered for the LEDs 319 from the selected 16 GPIOs ports of ESP32-DevKitC[®], the 320 chronometer to count the analysis time, the buttons to start, 321 pause and stop/reset the timer and the indicators to show the 322 values of potency delivered to each LED, Fluency Rate of each 323 LED and the light dose delivered to each microplate well from 324 each LED. 325

E. Estimated Cost and Comparison

The estimated cost of W-PEP is shown in Table II where is possible to observe the discrimination of each main component that compound the lighting and communication system developed in this work.

There are others similar devices found in the litera-331 ture [32]-[34] with the resemble objective presented in this 332 work. The systems developed in [32] and [33] are composed 333 by 70 LEDs in a 10×7 arrangement array and 96 LEDs in 334 a 12×8 arrangement array, respectively. Both have the same 335 dimensions with $30 \times 20 \times 31.5$ [cm³], that are much bigger 336 than W-PEP, need air cooling to decrease the temperature, 337 the LEDs are connected in series and use a lifting platform 338 with 1mm adjustment to regulate the distance between the 339 LEDs and microplates, but in [33] is used an electronic system 340 controlled by software to adjust this distance and irradiation 341 doses based on time and power. The arrangement in series 342 entails some disadvantages, e. g., if one LED fails, the rest 343

fails too; the fluency rate of each LED is equal without the possibility of control this quantity in each LED individually.

In order to obtain different irradiances simultaneously, six optical attenuators were put onto the wells of the 96-well plate, as described in [32]. Already on W-PEP, it can be configured 16 real-time irradiances, because each LED is connected in an individual ESP32-DevKitC[®] GPIO with 16 bits resolution.

The light source system developed in [34] is composed by a custom-made planar array of 30 LEDs, an aluminum supporting structure with screws and holders built for positioning the light source and a black breadboard acting as a structure base. Therefore, as can be observed in [34], the light source structure is much more complex than W-PEP and, once again, there isn't individual control of each LED fluency rate.

None of these light source systems [32]-[34] can be 358 considered portable because they are wired, have weighted 359 structures and do not use microcontroller devices to control 360 the fluency rate, light dose and perform PDT with real-time 361 determination of these quantities. Just W-PEP is completely 362 wireless and can be controlled remotely by portable devices, 363 such as smartphone, and uses the concepts of IoT (Internet 364 of things) and Android Application to perform PDT tests on 365 cells. 366

IV. RESULTS AND DISCUSSION

A. Cells Preparation for PDT and Evaluation of Endogenous Protoporphyrin Production

It was used in the experiments a cell line culture of Human Gastric Adenocarcinoma (AGS, catalog number BCRJ 0311), collected from the stomach of a 54-year-old female patient and acquired from Rio de Janeiro cell bank [34].

The next procedures with the cell samples were performed to verify the endogenous Protoporphyrin production mediated by ALA incubation. Therefore, it was possible to determine the optimal concentration of PS for this cell line.

The culture medium to maintain the cells was Dulbecco's 378 modified Eagle Medium (DMEM) supplemented with 10% 379 of fetal bovine serum (FBS) and glucose, providing the final 380 concentration of 4.5 g/L. The cells were cultured in 75cm^2 381 cell culture flasks from Greiner Bio One and kept in a 382 humidified incubator, model MCO-17AC (Sanyo Electric 383 Co. Ltd), at 37°C and atmosphere with 5% of carbon 384 dioxide (CO_2) . 385

The cells were dissociated from the culture flasks 24 hours 386 prior to the experiments and 100μ L of a 5×10^4 cells/mL 387 suspension were seeded in 96-well microplates from Corn-388 ing Inc. These microplates were kept in the incubator. 389 After 24 hours, a 10mM stock solution was prepared with 390 5-Aminolevulinic Acid Hydrochloride (5-ALA) in phos-391 phate buffered saline (PBS), and then filtered through a 392 sterile syringe with $0.2\mu m$ cellulose acetate membrane filter 393 (Corning Inc.). 394

The fluorescence evaluation of this compound was tracked using the fluorescence microscopy; model Axio Observer.Z1 from ZEISS, to observe the production of endogenous PpIX by AGS cells. The microscopy images were obtained by incubating a solution of 2mM ALA diluted in culture medium DMEM without phenol red and supplemented





Fig. 9. Images obtained by fluorescence microscopy showing the production of PpIX. Photographs taken after (a) 1 hour, (b) 3 hours, (c) 8 hours, and (d) 24 hours.

(d)

with 10% FBS for 1, 3, 8 and 24 hours. After the incubation, the cells were washed with PBS and fresh culture medium was added.

As shown in Fig. 9(a) and (b), few differences were observed between the control group (not exposed to ALA) and the 2mM ALA group in the fluorescence images to 1 and 3 hours of incubation, respectively.

However, with 8 hours of incubation, shown in Fig. 9(c), it is possible to observe the presence of fluorescent regions in the group incubated with ALA, which becomes more evident after 24 hours of incubation, presented in Fig. 9(d). The observed contrast between the control group and incubated with the 2mM solution suggests the presence of PpIX at higher concentrations in samples exposed to ALA, indicating the increased photosensitizer accumulation inside the cells after the 24 hours interval.

367

401



Fluorescence Intensity

Fig. 10. Histograms of flow cytometry in the FL-3 channel. Groups: I) control, II) 0.5 mM ALA, III) 1 mM ALA and IV) 2 mM ALA. Cells exhibiting just autofluorescence (PpIX–) are marked in black, and cells showing high fluorescence of PpIX (PpIX+) are marked in red.

To confirm that the signal detected in the images corresponds to ALA – PpIX conversion, cells incubated with ALA for a 24 hours interval were evaluated by flow cytometry.

To analyze the influence in PpIX production of different 420 ALA concentrations, solutions of 0.5, 1 and 2mM were 421 incubated with AGS cells. The histogram of the control 422 group (Fig. 10-I) shows the autofluorescence of the samples, 423 allowing the delimitation of two fixed regions, one called 424 PpIX-, which comprises the plot area with the samples aut-425 ofluorescence, containing the fluorescence intensity of endoge-426 nous PpIX basal levels and other endogenous chromophores 427 in the FL-3 channel (excitation: 488 nm; optical filter for 428 fluorescence detection: 670 LP) in the cell population; and 429 another called PpIX+, which contains the region with higher 430 fluorescence intensities in the red region, thus containing cells 431 with higher endogenous PpIX concentrations. 432

The cell incubation with 0.5 mM ALA solution (Fig. 10-II) 433 resulted in a population migration to the region of higher 434 fluorescence intensity, where 40% of cells produced PpIX. 435 Higher concentrations of ALA resulted in migration from 436 almost the entire population to the region of highest flu-437 orescence intensity, indicating that approximately 90% of 438 cells produced higher levels of photosensitizer. However, 439 no large difference was observed between groups incubated 440 with 1mM ALA solutions (Fig. 10-III) and 2mM (Fig. 10-IV), 441 suggesting that the cells achieved their maximum efficiency 442 of ALA to PpIX conversion when incubated for 24 hours 443 with 1mM ALA. 444 445

446 B. Optical and Thermal Stability

In order to realize the PDT with this W-PEP, it is desirable 447 that the maximum fluency rate provided by the selected LEDs 448 be the same or at least with very close values. Therefore, 449 with the assistance of the USB4000 spectrometer, the values 450 of the maximum fluency rate of each 16 LEDs were mea-451 sured and the mean value obtained was 1.7mW/cm² with 452 a standard deviation of 0.06mW/cm² which shows small 453 variation between measurements. 454

Other important quantity that affects the cell samples is the temperature. As the cells are provided by human stomach, it's is necessary to check the temperature rise caused by LEDs because when these values pass 37°C, the cells can begin to die due to overheating. So, with a thermal infrared camera the temperature of all microplate wells used in this work by W-PEP was measured for 90 minutes. Fig. 11 presents the results for these measurements with an interval of 10 minutes between each image.

It is possible to observe in Fig. 11 the increase of the used microplate wells temperature over time, but the temperature measured with 90 minutes of exposure is far below 37 Celsius degrees, which shows the system capacity to perform PDT for hours without causing cell death by the temperature.

C. PDT Activity

To evaluate the developed W-PEP three microplates were prepared and different concentrations of ALA (0.5, 1.0 and 2.0mM). The incubation time to allow cells to convert ALA in PpIX chosen was 24 hours, due the higher PpIX build up as showed in the Fig. 9(d).

After the ALA incubation, samples were washed twice with PBS and the supernatant was replaced by phenol-free DMEM supplemented with 10 FBS. Each plate received a different light dose and one remained protected from light (dark control). The chosen light doses were 3 and 5J/cm², which corresponded to 29 and 49 minutes of irradiation, respectively.

Just after irradiation, the microplates were transferred back to incubator and kept for 24 hours. After this incubation time the culture medium was then replaced with phenol-free DMEM supplemented with and 10% MTT (3-[4,5-Dimethylthiazol-2-yl]-2,5-Diphenyltetrazolium Bromide from Sigma-Aldrich and returned to the incubator

Bromide from Sigma-Aldrich and returned to the incubator for 3 hours.

Thereafter, the MTT solution was replaced with DMSO (Dimethylsulfoxide) and absorbance measurements at 570 and 690 nm were performed on a Multiskan GO spectrophotometer from Thermo Fisher Scientific. The second wavelength was performed to remove the absorbance value of the material in the microplate bottom. The absorbance value of the treated groups Abs_a was calculated as follows:

$$Abs_a = (Abs_{570\text{nm}} - Abs_{690\text{nm}}) - Abs_{\text{white}} \tag{3}$$

where Abs_{570nm} and Abs_{690nm} were the absorbance measurements at 570nm and 690nm, respectively, and the Abs_{white} 499 was absorbance value of samples that were not incubated with MTT to remove inferences in the assays. 500

The viability value was calculated by taking the ratio 501between each absorbance value of the treated groups Abs_a 502and the mean absorbance value of the control group Abs_c that 503

461

462

463

464

465

466

467

468

469

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494



Fig. 11. Images collected from the thermal infrared camera every 10 minutes. The total time of measurements was 90 minutes and the temperature did not exceed 35 Celsius degrees.

(4)



Fig. 12. Values (in %) of the tumor cell viability of the tumor cells from the control groups ("dark", bars in blue) corresponding to the cells treated or not with ALA and which did not were exposure to light and the treated groups, corresponding to the cells treated or not with ALA and irradiated with 3 (red) or 5 (green) J/cm².

was not exposed to ALA or to light, as described in equation(4).

$$Viability = (Abs_a/Abs_c) \times 100[\%]$$

The absorbance and viability values are expressed as the 507 mean \pm standard deviation. The experiments were performed 508 with quadruplicate of each group, and were repeated in three 509 different occasions. The GraphPad Prism 6 software was used 510 to perform the statistical analysis of the results, using Analysis 511 of Variance (ANOVA) followed by the Tukey multiple compar-512 isons test. Statistically significant differences (represented by 513 the letters a, b, c and d) were considered for the comparisons 514 that presented a value of $p \leq 0.05$ (e.g., $p \leq 5\%$). The results 515 are shown in Fig. 12. 516

As it can be observed in Fig. 12, ALA incubation alone did 517 not affect samples viability. When exposed to light, samples 518 incubated with ALA solutions of 0.5mM showed no change 519 in cell viability when 3J/cm² were applied, but yielded 7% of 520 viable cells after they were irradiated with 5J/cm². No further 521 damage was observed by increasing the ALA concentration 522 in samples exposed to the highest light dose resulting in 3% 523 of viable cells with 1mM ALA solution and 2% with 2mM 524 ALA solution. When exposed to 3J/cm², 1 and 2mM solu-525 tions displayed similar results, reducing cell viability to 68% 526 and 58%, respectively. The absence of significant differences 527



Fig. 13. Final prototype of the W-PEP side-by-side with its host smartphone.

between groups incubated with 1 and 2mM solutions indicates a plateau is reached in the PpIX production with ALA 1mM. This hypothesis is corroborated by the flow cytometry histograms that display the same population shift towards higher fluorescence intensity in the FL-3 channel in PpIX.

V. CONCLUSION

A Wireless Portable Evaluation Platform (W-PEP) was successful developed and the proof of principles showed significant results by selectively killing Human Gastric Adenocarcinoma (AGS) cells by PDT at low fluencies rates. The portability of this photonic device, combined with its low-cost and large autonomy (about 54 hours) are promising to be used in a near future for assist the cancer treatments by Photodynamic Therapy decreasing the costs and time of the application, which is of utmost importance in these situations. It is also important to highlight the implementation of IoT concepts to improve medical diagnostics and equipment.

Fig. 13 shows a functional prototype of W-PEP side-by-side and connected with the smartphone running a host application.

REFERENCES

- [1] World Health Organization. *Cancer*. [Online]. Available: https://www. who.int/news-room/fact-sheets/detail/cancer
- [2] World Cancer Research Fund. Worldwide Cancer Data: Global Cancer Statistics for the Most Common Cancers. [Online]. Available: https://www.wcrf.org/dietandcancer/cancer-trends/worldwide-cancerdata

544 545 546

541

542

543

547

551

552

553

548 549 AQ:2

550

- [3] M. Woollam *et al.*, "Detection of volatile organic compounds (VOCs) in 554 urine via gas chromatography-mass spectrometry QTOF to differentiate 555 between localized and metastatic models of breast cancer." Sci. Rep., 556 vol. 9, Feb. 2019, Art. no. 2526. 557
- L. Zhao, X. Li, J. Wang, P. Yao, and S. A. Akbar, "Detection 558 [4] of formaldehyde in mixed VOCs gases using sensor array with neural networks," *IEEE Sensors J.*, vol. 16, no. 15, pp. 6081–6086, 559 560 561 May 2016.
- [5] Y. Zhang et al., "Identification of volatile biomarkers of gastric cancer 562 cells and ultrasensitive electrochemical detection based on sensing 563 interface of Au-Ag alloy coated MWCNTs," Theranostics, vol. 4, no. 2, 564 565 pp. 154-162, 2014.
- M. H. Zarifi, A. Sohrabi, P. M. Shaibani, M. Daneshmand, and [6] 566 T. Thundat, "Detection of volatile organic compounds using microwave 567 sensors," IEEE Sensors J., vol. 15, no. 1, pp. 248-254, Jan. 2015. 568
- [7] S.-H. Yeom et al., "VOCs detection based on evanescent wave cou-569 pling of dye-coated optical fiber," IEEE Sensors J., vol. 15, no. 5, 570 pp. 3021-3025, May 2015. 571
- [8] B. T. Petersen et al., "Photodynamic therapy for gastrointestinal disease," 572 Gastrointestinal Endoscopy, vol. 63, no. 7, pp. 927-932, 2006. 573
- S. V. Kantsevoy et al., "Endoscopic mucosal resection and endoscopic [9] 574 submucosal dissection," Gastrointestinal Endoscopy, vol. 68, no. 1, 575 pp. 11-18, 2008. 576
- H. Liu et al., "Development and evaluation of a low-cost, portable, LED-[10] 577 578 based device for PDT treatment of early-stage oral cancer in resourcelimited settings," Lasers Surg. Med., vol. 51, no. 4, pp. 345-351, 579 Apr. 2019. 580
- [11] A. Bansal, F. Yang, T. Xi, Y. Zhang, and J. S. Ho, "In vivo wireless photonic photodynamic therapy," Proc. Nat. Acad. Sci. USA, vol. 115, 581 582 no. 7, pp. 1469-1474, Feb. 2018. 583
- J. Hempstead et al., "Low-cost photodynamic therapy devices for global [12] 584 health settings characterization of battery-powered LED performance 585 586 and smartphone imaging in 3D tumor models," Sci. Rep., vol. 5, May 2015, Art. no. 10093. 587
- [13] I. S. Leite, J. L. Vivero-Escoto, Z. Lyles, V. S. Bagnato, and M. Inada, 588 589 "In vitro evaluation of photodynamic therapy using redox-responsive nanoparticles carrying PpIX," Proc. SPIE, vol. 10476, Mar. 2018, 590 Art. no. 104760W. 591
- [14] J. Carmo and J. Correia, "Wireless instrumentation," Measure-592 ment, Instrumentation, and Sensors Handbook: Two-Volume Set, 593 J. G. Webster, Ed., 2nd ed. Boca Raton, FL, USA: CRC Press, 2014, 594 pp. 1-25. 595
- [15] K. A. Agha et al., "Which wireless technology for industrial wireless 596 sensor networks the development of OCARI technology," IEEE Trans. 597 Ind. Electron., vol. 56, no. 10, pp. 4266-4278, Oct. 2009. 598
- J. P. De Campos Da Costa, W. B. Bastos, P. I. Da Costa, M. A. Zaghete, 599 [16] E. Longo, and J. P. Carmo, "Portable laboratory platform with electro-600 chemical biosensors for immunodiagnostic of hepatitis C virus," IEEE 601 Sensors J., vol. 19, no. 22, pp. 10701-10709, Nov. 2019. 602
- [17] 603 D. E. Dolmans, D. Fukumura, and R. K. Jain, "Photodynamic therapy for cancer," Nature Rev. Cancer, vol. 3, no. 5, pp. 380-387, 2003. 604
- S. Yano et al., "Current states and future views in photodynamic 605 [18] therapy," J. Photochem. Photobiol. C, Photochem. Rev., vol. 12, no. 1, 606 607 pp. 46-67, Mar. 2011.
- M. Scholz and M. Didie, "New trends in photodynamic therapy [19] 608 research," in Proc. WDS, 2012, pp. 46-51. 609
- [20] T. G. S. Denis et al., "All you need is light: Antimicrobial photoinacti-610 vation as an evolving and emerging discovery strategy against infectious 611 disease," Virulence, vol. 2, no. 6, pp. 509-520, Nov. 2011. 612
- D. Dave, U. Desai, and N. Despande, "Photodynamic therapy: A view through light," *J. Orofacial Res.*, vol. 2, pp. 82–86, Apr. 2012. 613 [21] 614
- A. Ormond and H. Freeman, "Dye sensitizers for photodynamic ther-[22] 615 apy," Materials, vol. 6, no. 3, pp. 817-840, Mar. 2013. 616
- R. R. Allison and C. H. Sibata, "Oncologic photodynamic therapy photosensitizers: A clinical review," *Photodiagnosis Photodyn. Therapy*, [23] 617 618 vol. 7, no. 2, pp. 61-75, Jun. 2010. 619
- C. M. N. Yow, C. K. Wong, Z. Huang, and R. J. Ho, "Study of [24] 620 the efficacy and mechanism of ALA-mediated photodynamic therapy 621 on human hepatocellular carcinoma cell," Liver Int., vol. 27, no. 2, 622 pp. 201-208, Mar. 2007. 623
- [25] M. Wachowska et al., "Aminolevulinic acid (ALA) as a prodrug in pho-624 todynamic therapy of cancer," Molecules, vol. 16, no. 5, pp. 4140-4164, 625 May 2011. 626
- Q. Peng et al., "5-Aminolevulinic acid-based photodynamic therapy. [26] 627 Clinical research and future challenges," Amer. Cancer Soc., vol. 79, 628 no. 12, pp. 2282-2308, 1997. 629

- [27] R. R. Allison and K. Moghissi, "Oncologic photodynamic therapy: Clinical strategies that modulate mechanisms of action," Photodiagnosis Photodyn. Therapy, vol. 10, no. 4, pp. 331-341, Dec. 2013.
- [28] F. Fanjul-Vélez and J. L. Arce-Diego, "Light propagation in turbid media: Application to biological tissues," Proc. 21st Int. Conf. Radio Elektronika, 2011, pp. 7-10.
- [29] R. R. Allison, G. H. Downie, R. Cuenca, X.-H. Hu, C. J. Childs, and C. H. Sibata, "Photosensitizers in clinical PDT," *Photodiagnosis* Photodyn. Therapy, vol. 1, no. 1, pp. 27–42, May 2004.
 [30] I. Yoon, J. Z. Li, and Y. K. Shim, "Advance in photosensitizers and light
- delivery for photodynamic therapy," Clin. Endoscopy, vol. 46, no. 1, p. 7, 2013.
- [31] Osram Opto Semiconductors, Datasheet, LR Q396-P1Q2-1.
- [32] D. Chen et al., "Light-emitting diode-based illumination system for in vitro photodynamic therapy," Int. J. Photoenergy, vol. 2012, p. 6, Mar. 2012.
- Z. Jamali, S. M. Hejazi, S. M. Ebrahimi, H. Moradi-Sardareh, and [33] M. Paknejad, "Effects of LED-based photodynamic therapy using red and blue lights, with natural hydrophobic photosensitizers on human glioma cell line," *Photodiagnosis Photodyn. Therapy*, vol. 21, pp. 50-54, Mar. 2018.
- [34] J. A. Rodrigues, R. Amorim, M. F. Silva, F. Baltazar, R. F. Wolffenbuttel, 651 and J. H. Correia, "Photodynamic therapy at low-light fluence rate: In vitro assays on colon cancer cells," IEEE J. Sel. Topics Quantum 652 653 Electron., vol. 25, no. 1, pp. 1-6, Jan. 2019. 654 655
- [35] Cell Bank of Rio de Janeiro, Datasheet, AGS (code 0311).



Rodrigo Henrique Gounella was born in São 656 Carlos, Brazil, in 1991. He graduated in physics 657 engineering from UFSCar in 2016, and the M.Sc. 658 degree in 2018 and conducts his Doctorate 659 degree, both in electrical engineering with the 660 Group of Metamaterials, Microwaves and Optics 661 Telecommunications, USP, São Carlos, where he 662 developed a system for photodynamic therapy. 663 He is also a Mechanical Technician at SENAI 664 Antonio Adolpho Lobbe in 2007. 665



Ilaiáli Souza Leite received the Diploma 666 degree in physical and biomolecular sciences 667 in 2013 and the M.Sc. degree in applied physics 668 with biomolecular emphasis studying near-669 infrared photodynamic antimicrobial therapy from 670 IFSC, USP, Brazil. She is currently pursuing the 671 Ph.D. degree focusing on the optimization of 672 photodynamic therapy for cancer treatment using 673 nanostructured photosensitizers. 674



Natalia Mayumi Inada received the B.S. degree 675 in pharmacy and the Ph.D. degree in medical 676 pathophysiology from UNICAMP. She is currently 677 a Researcher with USP, IFSC, leading the Micro-678 bial Control and Cell Culture Labs, Biophotonics 679 Group, working on the development of clinical 680 projects and implementing projects improving 681 the photodynamic therapy with nanostructured 682 photosensitizers. 683



João Paulo Carmo was born in Maia, Portu-684 gal, in 1970. He is a Professor with USP, São 685 Carlos, Brazil, where he is also the Vice-Director 686 of the Group of Metamaterials, Microwaves and 687 Optics (GMeta). He is involved in the research 688 on micro/nano-technologies for mixed-mode/RE 689 and optic systems, solid-state integrated sen-690 sors, and microdevices for use in biomedical and 691 industrial applications. 692

g

630

631

632

633

634

635

636

637

638

639

640

643

644

645

646

647

648

649

650

641 642 AO:3